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J. Dobbins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

01/02/01

jc720 U.S. PTO

By Diane Schwanbeck certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 2, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL610160737US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Diane Schwanbeck

Attorney Docket No.: COREP005A

First Named Inventor: Bidyut Parruck

jc914 U.S. PTO

09/753797

01/02/01

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))  
(Continuation, Divisional or Continuation-in-part application)

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Bidyut Parruck, Pramod B. Phadke, Sachin N. Pradhan, Akash Bansal and Kishalay Haldar**

For: **ASYNCHRONOUS TRANSFER MODE TRAFFIC SHAPERS**

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part

of prior Application No.: **09/060,228**, from which priority under 35 U.S.C. §120 is claimed.

Application Elements:

- ☒ 47 Pages of Specification, Claims and Abstract  
☒ 11 Sheets of **formal** Drawings  
☒ 03 Pages Declaration  
☐ Newly executed (original or copy)  
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  
☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Power of Attorney  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations

- ☒ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(b)(i)
- ☐ Other:

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a \_\_\_\_\_"
- ☒ Continuation ☐ Continuation-in-part ☐ Divisional application of copending prior
- ☒ Application No. 09/060,228 filed on April 14, 1998,
- ☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which designated the United States, the disclosure of which is incorporated herein by reference."

- ☒ Cancel in this application original claims 4-19 and 21 of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$355 \$	OR	\$710 \$710.00
TOTAL CLAIMS	<u>14</u> -20 = <u>00</u>		x09 = \$	OR	x18 = \$
INDEP CLAIMS	<u>06</u> -03 = <u>03</u>		x40 = \$	OR	x80 = \$240.00
[ ] Multiple Dependent Claim Presented			\$130 = \$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total \$950.00

- ☒ Check No. 4712 in the amount of \$950.00 is enclosed.

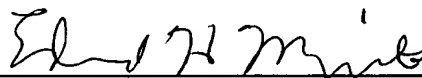
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. COREP005A).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805.

☒ Please send correspondence to the following address:

**Edmund H. Mizumoto, Esq.**  
**MARTINE PENILLA & KIM, LLP**  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
/Customer No. 25920



Edmund H. Mizumoto, Esq.  
Registration No. 46,938

Date: Jan. 2, 2001

#3  
8/26/04  
Dobbs

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
Bidyut PARRUCK et al.	)	Examiner: Unassigned
Application No. [To be assigned]	)	Art Unit: Unassigned
Filed: January 2, 2001	)	Docket No. COREP005A
For: ASYNCHRONOUS TRANSFER	)	Date: January 2, 2001
MODE TRAFFIC SHAPERS	)	

CERTIFICATE OF EXPRESS MAILING

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Signed: 

Diane Schwanbeck

CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Assistant Commissioner for Patents  
Washington, D. C. 20231

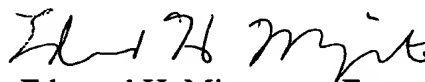
Sir:

Please send all correspondence for this application as follows:

MARTINE PENILLA & KIM, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085

Please direct any calls to **Edmund H. Mizumoto** at (408) 749-6900, Ext. 6911.

Respectfully submitted,  
MARTINE PENILLA & KIM, LLP

  
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Attorney Docket No. COREP005A